



Attorney Docket No.: PATENT  
AVALUC-01701

2151  
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10-3-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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OCT 07 2002

Technology Center 2100

In re Application of:

Louis Bouchard et al.

Serial No.: 09/697,113

Filed: October 25, 2000

For: **INSTANT MESSAGE  
NOTIFICATION APPLICATION**

) Group Art Unit: 2151

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 9-24-02

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP

Date: 9/25/02 By: [Signature]



# 3  
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10-8-02

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NOTIFICATION APPLICATION**

Group Art Unit: 2151  
Examiner: **Technology Center 2100**  
**SUPPLEMENTAL INFORMATION**  
**DISCLOSURE STATEMENT**  
162 N. Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,949,776; and
- U.S. Patent No. 6,289,212 B1.

PATENT  
Attorney Docket No.: AVALUC-01701

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 9-24-02

By: Thomas B. Haverstock

Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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- 2 -

HAVERSTOCK & OWENS LLP

Date: 9/25/02 By: Flora



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**NOTIFICATION APPLICATION** )

Group Art Unit: 2151  
Examiner: **Technology Center 2100**  
**CERTIFICATION UNDER 37 C.F.R.**  
**§1.97(e) FOR SUPPLEMENTAL**  
**INFORMATION DISCLOSURE**  
**STATEMENT**  
162 North Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

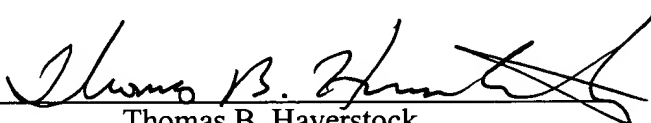
I hereby certify that the attached two (2) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on July 12, 2002. **Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 9-24-02

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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**HAVERSTOCK & OWENS LLP**

Date: 9/25/02 By: 